

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2834

Examiner: Not yet assigned

Applicant(s): Goche

Serial No.: 10/602,9\$6

Filing Date: 06/24/2003

Title: "Electric Motor Windings"

Attorney Docket No.: P027598-01UT

MAIL STOP DD

Commissioner for Patents

P.O. Box 1450

X

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or

(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
 under 37 CFR 1.97(c) together with either a: Certification under 37 CFR 1.97(e), or a \$240.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
under 37 CFR 1.97(d) together with a: Certification under 37 CFR 1.97(e), and a petition under 37 CFR 1.97(d)(2)(ii), and a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1). (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

12/16/2003 AADOFO1 00000056 500864 10602966

01 FC:1806

180.00 DA

The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Date: December 12, 2003

Dennis L. Cook

Attorney/Agent for Applicant(s)

Reg. No. 30,826

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB

Complete if Known Substitute for form 1449A/PTO INFORMATION DISCLOSURE **Application Number** 10/602,956 Filing Date 06/24/2003 STATEMENT BY APPLICANT First Named Inventor Goche 2834 Art Unit (use as many sheets as necessary) Not yet assigned Examiner Name Sheet 1 Attorney Docket Number P027598-01UT

U.S. PATENT DOCUMENTS								
Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant			
Initials*		Number-Kind Code² (if known) MM-DD-YYY	MM-DD-YYYY	Applicant of Cited Document	Figures Appear			
		US-4,352,051	09-28-1982	Johnson				
		US-4,446,416	05-01-1984	Wanlass				
		US-4,792,740	12-20-1988	Smith				
		US-4,808,868	02-28-1989	Roberts				
		US-						
		US-						
		US-						
_		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						
		US-						

	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Τ6			
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY Applicant of Cited Document		or Relevant Figures Appear				
		DT 25 08 374 A1	02-26-1975	Hung-Ying Wen					
						1_			
						\vdash			
	<u> </u>					╀			
						╁╌			
	├				-	\vdash			
	├─					П			
J	╁								

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance *EXAMINER: Initial if reference considered, whether or not citation is in conformance with whether one. Draw line trirough citation in motification and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.upsto.gov or MPEP 901.04.

Bether Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Stind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

\$ 2834

PTO/SB/17 (01-0)

Approved for use through 04/30/2003. OMB 0651-003

U.S. Patent and Trademark office: U.S. DEPARTMENT OF COMMERC

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

EE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

X Applicant claims small entity status. See 37 CFR 1.27

(\$) 180.00

TOTAL AMOUNT OF PAYMENT

Complete if Known					
Application Number	10/602,966				
Filing Date	6/24/2003				
First Named Inventor	Goche				
Examiner Name	Not yet assigned				
Art Unit	2834				
Attorney Docket No.	P027598-01UT				

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
Check Credit Card Money Other None		DITIO					
Order Order Order	Large Fee	Fee	Small	Fee	•		
X Deposit Account	Code	(\$)	Code	(\$)	Fee Description	Fee Pald	
	1051	130	2051	65	Surcharge - late fling fee or oath		
Deposit Account Number 500864	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
Deposit Account Name	1053	130	1053	130	Non-English specification		
The Commissioner is authorized to: (check all that apply)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
X Charge fee(s) indicated below	1804	920°	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge any additional fee(s) during the pendency of this application	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
Charge fee(s) indicated below, except for the filing fee	1251	110	2251	55	Extension for reply within first month		
to the above-identified deposit account.	1252	410	2252	205	Extension for reply within second month		
FEE CALCULATION	1253	930	2253	465	Extension for reply within third month		
1. BASIC FILING FEE	1254	1,450	2254	725	Extension for reply within fourth month		
Large Entity Small Entity	1255	1,970	2255	985	Extension for reply within fifth month		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	1401	320	2401	160	Notice of Appeal		
1001 750 2001 375 Utility filing fee	1402	320	2402	160	Filing a brief in support of an appeal		
1002 330 2002 165 Design filing fee	1403	280	2403	140	Request for oral hearing		
1003 520 2003 260 Plant filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1004 750 2004 375 Reissue filing fee	1452	110	2452	55	Petition to revive - unavoidable		
1005 160 2005 80 Provisional filing fee	1453	1,300	2453	650	Petition to revive - unintentional		
	1501	1,300	2501	650	Utility issue fee (or reissue)		
SUBTOTAL (1) (\$)	1	470	2502	235		├ ───┤	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1502	630	2502	315	Design issue fee Plant issue fee		
Extra Claims below Fee Paid	1503						
Total Claims X = X	1460	130	1460	130	Petitions to the Commissioner		
Independent 3"= X = =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Multiple Dependent =	1806	180	1806	180	Submission of Information Disclosure Stmt	180.00	
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
Fee Fee Fee Fee Code (\$) Code (\$)	1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))		
1202 18 2202 9 Claims in excess of 20					For each additional investigate he		
1201 84 2201 42 Independent claims in excess of 3	1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))		
201 84 2201 42 Independent claims in excess of 3 1810 750 2810 375 examined (37 CFR § 1.129(b)) 203 280 2203 140 Multiple dependent claim, if not paid							
1204 84 2204 42 **Reissue independent claims over original patent	1801	750	2801	375	Request for Continued Examination (RCE)		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$)	Other	fee (speci	fy)				
**or number previously paid, if greater; For Reissues, see above	(3)*Redu	ced by Ba	isic Filing	Fee Pa	SUBTOTAL (\$)	180.00	
or number previously paid, it greater, not recoduce, see above	B/~/						

\$UBMITTED BY Compl					plicable)
Name (Print/Type)	Dennis L. Cook	Registration No. (Attorney/Agent)	30826	Telephone	813-222-2033
Signature	THE			Date	December 12, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization of PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: MAIL STOP DD., Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.